Categorical Databases

Patrick Schultz, David Spivak MIT

Ryan Wisnesky Categorical Informatics

and others

November 2017

Introduction

- This talk describes a new algebraic (purely equational) way to formalize databases based on category theory.
- Category theory was designed to migrate theorems from one area of mathematics to another, but researchers at MIT developed a way to use it to migrate data from one schema to another.
- Research has culminated in an open-source prototype ETL and data integration tool, AQL (Algebraic Query Language), available at categoricaldata.net/aql.html. (These slides are also there.)
- Goal: Categorical databases needs you needs a community to grow.
- Outline:
 - Review of basic category theory.
 - Introduction to AQL.
 - AQL demo.
 - Optional interlude: additional AQL constructions.
 - How AQL instances model the simply-typed λ -calculus.

AQL Value Proposition

- AQL implements this talk in software.
 - catinf.com
- ▶ The AQL "execution engine" is an automated theorem prover.
 - High-assurance: AQL catches mistakes at compile time that existing ETL / data integration tools catch at runtime – if at all.
 - Data import and export by JDBC-SQL and CSV.
- We are looking for collaborators for "real-world pilot projects".

Category Theory

- ightharpoonup A category ${\mathcal C}$ consists of
 - ▶ a set of *objects*, Ob(C)
 - ▶ forall $X, Y \in \mathsf{Ob}(\mathcal{C})$, a set $\mathcal{C}(X, Y)$ of morphisms a.k.a arrows
 - ▶ forall $X \in \mathsf{Ob}(\mathcal{C})$, a morphism $id \in \mathcal{C}(X,X)$
 - ▶ forall $X,Y,Z \in \mathsf{Ob}(\mathcal{C})$, a function $\circ \colon \mathcal{C}(Y,Z) \times \mathcal{C}(X,Y) \to \mathcal{C}(X,Z)$ s.t.

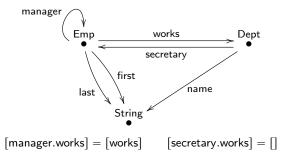
$$f \circ id = f$$
 $id \circ f = f$ $(f \circ g) \circ h = f \circ (g \circ h)$

- The category Set has sets as objects and functions as arrows, and the "category" Haskell has types as objects and programs as arrows.
- ▶ A functor $F: \mathcal{C} \to \mathcal{D}$ between categories \mathcal{C}, \mathcal{D} consists of
 - ▶ a function $Ob(C) \rightarrow Ob(D)$
 - ▶ forall $X, Y \in \mathsf{Ob}(\mathcal{C})$, a function $\mathcal{C}(X, Y) \to \mathcal{D}(F(X), F(Y))$ s.t.

$$F(id) = id$$
 $F(f \circ g) = F(f) \circ F(g)$

The functor P: Set → Set takes each set to its power set, and the functor
 List: Haskell → Haskell takes each type t to the type List t.

Schemas and Instances



Emp						
ID	mgr	works	first	last		
101	103	q10	Al	Akin		
102	102	×02	Bob	Во		
103	103	q10	Carl	Cork		

Dept				
ID	sec	name		
q10	101	CS		
×02	102	Math		

String	
ID	
Al	
Bob	

An AQL Schema: Code

```
entities
    Emp
    Dept
foreign keys
    manager : Emp -> Emp
    works : Emp -> Dept
    secretary : Dept -> Emp
attributes
    first last : Emp -> string
    name : Dept -> string
path equations
    manager.works = works
    secretary.works = Department
```

Categorical Semantics of Schemas and Instances

- The meaning of a schema S is a category $[\![S]\!]$.
 - $\mathsf{Ob}(\llbracket S \rrbracket)$ is the nodes of S.
 - Forall nodes X, Y, $[\![S]\!](X, Y)$ is the set of finite paths $X \to Y$, modulo the path equivalences in S.
 - ▶ Path equivalence in S may not be decidable! ("the word problem")
- A morphism of schemas (a "schema mapping") $S \to T$ is a functor $[\![S]\!] \to [\![T]\!]$.
 - It can be defined as an equation-preserving function:

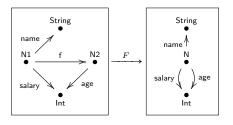
$$nodes(S) \rightarrow nodes(T)$$
 $edges(S) \rightarrow paths(T).$

- ▶ An S-instance is a functor [S] → Set.
 - It can be defined as a set of tables, one per node in S and one column per edge in S, satisfying the path equivalences in S.
- A morphism of S-instances $I \to J$ (a "data mapping") is a natural transformation $I \to J$.
 - ullet Instances on S and their mappings form a category, written S-inst.

Schema Mappings

A **schema mapping** $F: S \rightarrow T$ is an equation-preserving function:

$$nodes(S) \rightarrow nodes(T) \qquad edges(S) \rightarrow paths(T)$$



$$F(\mathsf{Int}) = \mathsf{Int} \qquad F(\mathsf{String}) = \mathsf{String}$$

$$F(\mathsf{N1}) = \mathsf{N} \qquad F(\mathsf{N2}) = \mathsf{N}$$

$$F(\mathsf{name}) = [\mathsf{name}] \qquad F(\mathsf{age}) = [\mathsf{age}] \qquad F(\mathsf{salary}) = [\mathsf{salary}]$$

$$F(\mathsf{f}) = []$$

Functorial Data Migration

A schema mapping $F \colon S \to T$ induces three data migration functors:

▶ Δ_F : T-inst \to S-inst (like project)

$$S \xrightarrow{F} T \xrightarrow{I} \mathbf{Set}$$

$$\Delta_F(I) := I \circ F$$

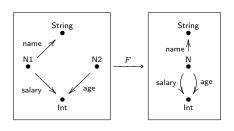
▶ Π_F : S-inst \to T-inst (right adjoint to Δ_F ; like join)

$$\forall I, J. \quad S\text{-inst}(\Delta_F(I), J) \cong T\text{-inst}(I, \Pi_F(J))$$

▶ Σ_F : S-inst → T-inst (left adjoint to Δ_F ; like outer union then merge)

$$\forall I, J. \quad S\text{-inst}(J, \Delta_F(I)) \cong T\text{-inst}(\Sigma_F(J), I)$$

Δ (Project)

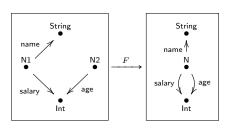


 $\stackrel{\Delta_F}{\longleftarrow}$

	N1	ı	1 2	
ID	name	salary	ID	age
1	Alice	\$100	4	20
2	Bob	\$250	5	20
3	Sue	\$300	6	30

N					
ID	name	salary	age		
а	Alice	\$100	20		
b	Bob	\$250	20		
С	Sue	\$300	30		

Π (Product)

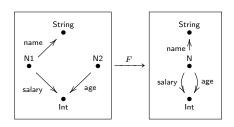


 Π_F

N1			1	V 2
ID	name	salary	ID	age
1	Alice	\$100	4	20
2	Bob	\$250	5	20
3	Sue	\$300	6	30

		I	V	
	ID	name	salary	age
	a	Alice	\$100	20
	b	Alice	\$100	20
	С	Alice	\$100	30
٠	d	Bob	\$250	20
	е	Bob	\$250	20
	f	Bob	\$250	30
	g	Sue	\$300	20
	h	Sue	\$300	20
	i	Sue	\$300	30

Σ (Outer Union)



	N1	1	V2	
ID	Name	Salary	ID	Age
1	Alice	\$100	4	20
2	Bob	\$250	5	20
3	Sue	\$300	6	30

	N					
	ID	Name	Salary	Age		
	a	Alice	\$100	$null_1$		
Σ_F	b	Bob	\$250	$null_2$		
	С	Sue	\$300	$null_3$		
	d	$null_4$	$null_5$	20		
	е	$null_6$	$null_7$	20		
	f	$null_8$	$null_9$	30		

Unit of $\Sigma_F \dashv \Delta_F$

	N1	1	V2	
ID	Name	Salary	ID	Age
1	Alice	\$100	4	20
2	Bob	\$250	5	20
3	Sue	\$300	6	30

 η

	N					
	ID	Name	Salary	Age		
	a	Alice	\$100	$null_1$		
Σ_F	b	Bob	\$250	$null_2$		
	С	Sue	\$300	$null_3$		
	d	$null_4$	$null_5$	20		
	е	$null_6$	$null_7$	20		

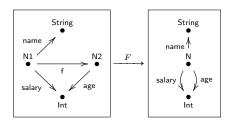
 $null_9$

30

 $null_8$

N1			N2	
ID	Name Salary		ID	Age
a	Alice	\$100	а	$null_1$
b	Bob	\$250	b	$null_2$
С	Sue	\$300	С	$null_3$
d	$null_4$	$null_5$	d	20
е	$null_6$	$null_7$	е	20
f	$null_8$	$null_9$	f	30

A Foreign Key



 Π_F, Σ_F

	N:	N	1 2		
ID	name	salary	ID	age	
1	Alice	\$100	4	4	20
2	Bob	\$250	5	5	20
3	Sue	\$300	6	6	30

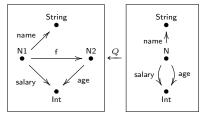
			N	
	ID	name	salary	age
→	a	Alice	\$100	20
	b	Bob	\$250	20
	С	Sue	\$300	30

Queries

A query $Q:S \to T$ is a schema X and mappings $F:S \to X$ and $G:T \to X$.

$$eval_Q \cong \Delta_G \circ \Pi_F \quad coeval_Q \cong \Delta_F \circ \Pi_G$$

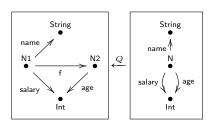
These can be specified using comprehension notation similar to SQL.



N1 -> select n1.name as name, n1.salary as salary from N as n1

N2 -> select n2.age as age from N as n2

A Foreign Key



	N1	ı	J 2		
ID	name	salary	ID	age	
1	Alice \$100 4		4	4	20
2	Bob	\$250	5	5	20
3	Sue	\$300	6	6	30

$eval_Q$	N								
$\leftarrow Q$ $coeval_Q$	ID	name	salary	ag					
$\xrightarrow{coevarQ}$	a	Alice	\$100	20					
	b	Bob	\$250	20					
	С	Sue	\$300	30					

AQL Demo

- AQL implements Δ, Σ, Π , and more in software.
 - catinf.com
- ▶ The AQL "execution engine" is an automated theorem prover.
 - Value proposition: AQL catches mistakes at compile time that existing ETL / data integration tools catch at runtime – if at all.
 - Data import and export by JDBC-SQL and CSV.
- We are looking for collaborators for a "real-world pilot project".

Interlude - Additional Constructions

- ▶ What is "algebraic" here?
- AQL vs SQL.
- Pivot.
- Non-equational data integrity constraints.
- Data integration via pushouts.
- AQL vs comprehension calculi.

Why "Algebraic"?

A schema can be identified with an algebraic (equational) theory.

```
\label{eq:continuous} \mbox{Emp Dept String}: \mbox{Type} \qquad \mbox{first last}: \mbox{Emp} \rightarrow \mbox{String} \qquad \mbox{name}: \mbox{Dept} \rightarrow \mbox{String} \mbox{works}: \mbox{Emp} \rightarrow \mbox{Dept} \qquad \mbox{mgr}: \mbox{Emp} \rightarrow \mbox{Emp} \qquad \mbox{secr}: \mbox{Dept} \rightarrow \mbox{Emp} \forall e: \mbox{Emp. works}(\mbox{manager}(e)) = \mbox{works}(e) \qquad \forall d: \mbox{Dept. works}(\mbox{secretary}(d)) = d
```

- This perspective makes it easy to add functions such as
 + : Int, Int → Int to a schema. See Algebraic Databases.
- ▶ An S-instance can be identified with the initial algebra of an algebraic theory extending S.

```
\label{eq:mgr} \begin{array}{ll} 101\ 102\ 103: {\sf Emp} & {\sf q10}\ {\sf x02}: {\sf Dept} \\ \\ {\sf mgr}(101) = 103 & {\sf works}(101) = {\sf q10} & \dots \end{array}
```

 Treating instances as theories allows instances that are infinite or inconsistent (e.g., Alice=Bob).

AQL vs SQL

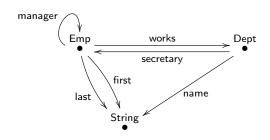
Data migration triplets of the form

$$\Sigma_F \circ \Pi_G \circ \Delta_H$$

can be expressed using relational algebra and keygen, provided:

- *F* is a discrete op-fibration (ensures union compatibility).
- ullet G is surjective on attributes (ensures domain independence).
- All categories are finite (ensures computability).
- ► The difference-free fragment of relational algebra can be expressed using such triplets. See *Relational Foundations*.
- ► Such triplets can be written in "foreign-key aware" SQL-ish syntax.

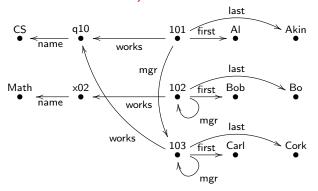
Select-From-Where Syntax



Find the name of every manager's department:

```
AQL SQL select e.manager.works.name select d.name from Emp as e from Emp as e1, Emp as e2, Dept as d where e1.manager = e2.ID and e2.works = d.ID
```

Pivot (Instance ⇔ Schema)



Emp								
ID	mgr	works	first	last				
101	103	q10	Al	Akin				
102	102	×02	Bob	Во				
103	103	q10	Carl	Cork				

Dept				
ID	name			
q10	CS			
x02	Math			

Richer Constraints

- Not all data integrity constraints are equational (e.g., keys).
- A data mapping $\varphi:A\to E$ defines a constraint: instance I satisfies φ if for every $\alpha:A\to I$ there exists an $\epsilon:E\to I$ s.t $\alpha=\epsilon\circ\varphi$.



Most constraints used in practice can be captured the above way. E.g.,

$$\forall d_1, d_2 : \mathsf{Dept.} \; \mathsf{name}(d_1) = \mathsf{name}(d_2) \to d_1 = d_2$$

is captured as

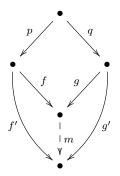
$$A(\mathsf{Dept}) = \{d_1, d_2\} \qquad A(\mathsf{name})(d_1) = A(\mathsf{name})(d_2)$$

$$E(\mathsf{Dept}) = \{d\} \qquad \varphi(d_1) = \varphi(d_2) = d$$

 See Database Queries and Constraints via Lifting Problems and Algebraic Model Management.

Pushouts

• A pushout of p, q is f, g s.t. for every f', g' there is a unique m s.t.:



- The category of schemas has all pushouts.
- lacktriangle For every schema S, the category S-inst has all pushouts.
- Pushouts of schemas, instances, and Σ are used together to integrate data see *Algebraic Data Integration*.

Using Pushouts for Data Integration

Step 1: integrate schemas. Given input schemas S_1 , S_2 , an overlap schema S, and mappings F_1, F_2 :

$$S_1 \stackrel{F_1}{\leftarrow} S \stackrel{F_2}{\rightarrow} S_2$$

we propose to use their pushout T as the integrated schema:

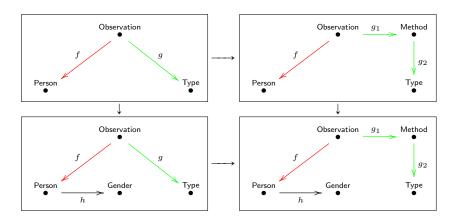
$$S_1 \stackrel{G_1}{\to} T \stackrel{G_2}{\leftarrow} S_2$$

▶ Step 2: integrate data. Given input S_1 -instance I_1 , S_2 -instance I_2 , overlap S-instance I and data mappings $h_1: \Sigma_{F_1}(I) \to I_1$ and $h_2: \Sigma_{F_2}(I) \to I_2$, we propose to use the pushout of:

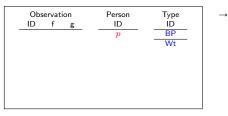
$$\Sigma_{G_1}(I_1) \stackrel{\Sigma_{G_1(h_1)}}{\leftarrow} \left(\Sigma_{G_1 \circ F_1}(I) = \Sigma_{G_2 \circ F_2}(I) \right) \stackrel{\Sigma_{G_2(h_2)}}{\rightarrow} \Sigma_{G_2}(I_2)$$

as the integrated T-instance.

Schema Integration



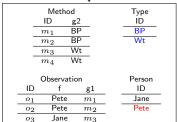
Data Integration



 \rightarrow

М

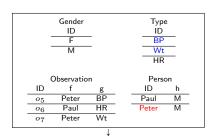
 $null_4$

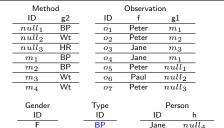


 m_1

Jane

 o_4





Wt

HR

Paul

Peter

М

М

AQL vs LINQ

- Treating entity sets as types rather than terms makes AQL a conceptual dual to comprehension calculi (e.g., LINQ). See QINL: Query-Integrated Languages.
- LINQ enriches programs with (schemas, queries and instances).
 - Collections are terms

```
Employee: Set Int manager: Set (Int \times Int)
```

- e: Employee *is not* a judgment.
- ▶ There is a term \in : Int \times Set Int \rightarrow Bool.
- ► AQL enriches (schemas, queries and instances) with programs.
 - Collections are types

$$Employee \colon Type \quad manager \colon Employee \to Employee$$

- e: Employee is a judgment.
- ▶ There is not a term \in : Employee \times Type \rightarrow Bool.
- LINQ is more popular, but AQL's style is common in Coq, Agda, etc.

AQL is "one level up" from LINQ

- LINQ
 - Schemas are collection types over a base type theory

Instances are terms

$$\{(1,\mathsf{CS})\} \cup \{(2,\mathsf{Math})\}$$

Data migrations are functions

$$\pi_1$$
: Set (Int × String) \rightarrow Set Int

- AQL
 - Schemas are type theories over a base type theory

Dept, name: Dept
$$\rightarrow$$
 String

Instances are term models (initial algebras) of theories

$$d_1, d_2$$
: Dept, $name(d_1) = CS$, $name(d_2) = Math$

Data migrations are functors

$$\Delta_{\mathsf{Dept}} \colon (\mathsf{Dept}, \mathsf{name} \colon \mathsf{Dept} \to \mathsf{String}) \operatorname{-} \mathsf{inst} \to (\mathsf{Dept}) \operatorname{-} \mathsf{inst}$$

Part 2

- For every schema S, S-inst models simply-typed λ -calculus (STLC).
- The STLC is the core of typed functional languages ML, Haskell, etc.
- We will use the internal language of a cartesian closed category, which is equivalent to the STLC.
- Lots of "point-free" functional programming ahead.
- The category of schemas and mappings is also cartesian closed see talk at Boston Haskell.

Categorical Abstract Machine Language (CAML)

▶ Types *t*:

$$t ::= 1 \mid t \times t \mid t^t$$

▶ Terms f, g:

$$id_{t}: t \to t \qquad ()_{t}: t \to 1 \qquad \pi_{s,t}^{1}: s \times t \to s \qquad \pi_{s,t}^{2}: s \times t \to t$$

$$eval_{s,t}: t^{s} \times s \to t \qquad \frac{f: s \to u \quad g: u \to t}{g \circ f: s \to t} \qquad \frac{f: s \to t \quad g: s \to u}{(f,g): s \to t \times u}$$

$$\frac{f: s \times u \to t}{\lambda f: s \to t^{u}}$$

Equations:

$$\begin{split} id \circ f &= f \qquad f \circ id = f \qquad f \circ (g \circ h) = (f \circ g) \circ h \qquad () \circ f = () \\ \pi^1 \circ (f,g) &= f \qquad \pi^2 \circ (f,g) = g \qquad (\pi^1 \circ f, \pi^2 \circ f) = f \\ eval \circ (\lambda f \circ \pi^1, \pi^2) &= f \qquad \lambda (eval \circ (f \circ \pi^1, \pi^2)) = f \end{split}$$

Programming AQL in CAML

- ► For every schema *S*, the category *S*-inst is cartesian closed.
 - Given a type t, you get an S-instance [t].
 - Given a term $f: t \to t'$, you get a data mapping $[f]: [t] \to [t']$.
 - All equations obeyed.
- ► S-inst is further a topos (model of higher-order logic / set theory).
- We consider the following schema in the examples that follow:



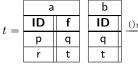
Programming AQL in CAML: Unit

▶ The unit instance 1 has one row per table:





▶ The data mapping $()_t: t \to 1$ sends every row in t to the only row in 1. For example,







$$p, q, r, t \xrightarrow{()_t} x$$

Programming AQL in CAML: Products

Products $s \times t$ are computed row-by-row, with evident projections $\pi^1: s \times t \to s$ and $\pi^2: s \times t \to t$. For example:

							a	ı		b	
а		b		а		b		ID	f		ID
ID	f	ID	×	ID	f	ID	_	(1,a)	(3,c)	Ī	(3,c)
1	3	3		a	С	С	_	(1,b)	(3,c)	Ì	(3,d)
2	3	4		b	С	d		(2,a)	(3,c)		(4,c)
								(2,b)	(3,c)		(4,d)

- Given data mappings $f:s \to t$ and $g:s \to u$, how to define $(f,g):s \to t \times u$ is left to the reader.
 - hint: try it on π^1 and π^2 and verify that $(\pi^1,\pi^2)=id$.

Programming AQL in CAML: Exponentials

• Exponentials t^s are given by finding all data mappings $s \to t$:

а		b	1	a		b	
ID	f	ID	1	ID	f	ID] _
1	3	3		a	С	С	
2	3	4		b	С	d	

a	
ID	f
$1 \mapsto a, 2 \mapsto b, 3 \mapsto c, 4 \mapsto d$	$3 \mapsto c, 4 \mapsto d$
$1 \mapsto b, 2 \mapsto a, 3 \mapsto c, 4 \mapsto d$	$3 \mapsto c, 4 \mapsto d$
$\boxed{1 \mapsto a, 2 \mapsto a, 3 \mapsto c, 4 \mapsto d}$	$3 \mapsto c, 4 \mapsto d$
$1 \mapsto b, 2 \mapsto b, 3 \mapsto c, 4 \mapsto d$	$3 \mapsto c, 4 \mapsto d$
$1 \mapsto a, 2 \mapsto b, 3 \mapsto d, 4 \mapsto c$	$3 \mapsto d, 4 \mapsto c$
$1 \mapsto b, 2 \mapsto a, 3 \mapsto d, 4 \mapsto c$	$3 \mapsto d, 4 \mapsto c$
$1 \mapsto a, 2 \mapsto a, 3 \mapsto d, 4 \mapsto c$	$3 \mapsto d, 4 \mapsto c$
$1 \mapsto b, 2 \mapsto b, 3 \mapsto d, 4 \mapsto c$	$3 \mapsto d, 4 \mapsto c$

b	
ID	_
$3 \mapsto c, 4 \mapsto c$	
$3 \mapsto c, 4 \mapsto d$	
$3 \mapsto d, 4 \mapsto c$	
$3 \mapsto d, 4 \mapsto d$	

• Defining eval and λ are left to the reader.

Concusion

- We described a new "algebraic" approach to databases based on category theory.
 - Schemas are categories, instances are set-valued functors.
 - Three adjoint data migration functors, Σ, Δ, Π manipulate data.
 - Instances on a schema model the simply-typed λ -calculus.
- Our approach is implemented in AQL, an open-source project, available at catinf.com.
- Collaborators welcome!
 - We are looking for "real-world pilot projects".